Baker & Hostetler LLP

45 Rockefeller Plaza

New York, NY 10111

Telephone: (212) 589-4200 Facsimile: (212) 589-4201

David J. Sheehan

Email: dsheehan@bakerlaw.com

Nicholas J. Cremona

Email: ncremona@bakerlaw.com

Dean D. Hunt

Email: dhunt@bakerlaw.com

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and for the Estate of Bernard L. Madoff

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and Bernard L. Madoff,

Plaintiff,

v.

SUSAN ANDELMAN,

Defendant.

No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 10-04916 (SMB)

NOTICE OF DISCOVERY ARBITRATOR'S DECISION AND ORDER

Attached hereto as Exhibit A is the Discovery Arbitrator's Decision and Order, issued on December 20, 2016, pursuant to the Order Appointing a Discovery Arbitrator Pursuant to Bankruptcy Rule 9019(c) and General Order M-390 (ECF No. 14227), and the Stipulation and Order Referring Matter to Discovery Arbitrator in this adversary proceeding, dated October 31, 2016 (ECF No. 52).

Dated: January 19, 2017

New York, New York

BAKER & HOSTETLER LLP

By: /s/ Nicholas J. Cremona

45 Rockefeller Plaza

New York, New York 10111

Telephone: 212.589.4200 Facsimile: 212.589.4201

David J. Sheehan

Email: dsheehan@bakerlaw.com

Nicholas J. Cremona

Email: ncremona@bakerlaw.com

Dean D. Hunt

Email: dhunt@bakerlaw.com

Baker & Hostetler LLP

811 Main Street, Suite 1100

Houston, Texas 77002

Telephone: 713.646.1346

Facsimile: 713.751.1717

Attorneys for Plaintiff Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of Bernard L. Madoff

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing *Notice of Discovery Arbitrator's*Decision and Order was served this 19th day of January, 2017 by electronic mail and First Class mail upon the following:

David B. Bernfeld

Email: dbblaw@hotmail.com

Jeffrey Bernfeld

Email: jeffreybernfeld@bernfeld-dematteo.com BERNFELD, DEMATTEO & BERNFELD LLP

600 Third Avenue New York, NY 10016

Attorneys for Defendant Susan Andelman

An Attorney for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of

Ellent space

Bernard L Madoff

EXHIBIT A

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant

-against-

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

-against-

STEVEN ANDELMAN,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 10-04884 (SMB)

IRVING H. PICARD, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

Adv. Pro. No. 10-4916 (SMB)

-against-

SUSAN ANDELMAN,

Defendant.

DISCOVERY ARBITRATOR'S DECISION AND ORDER

Having conferred with counsel for both sides during a telephone conference on December 15, 2017, it is hereby ORDERED that:

- 1. The Trustee's letter-request that the Trustee's Requests to Admit addressed to defendants Susan Andelman and Steven Andelman (together, the "Defendants") be deemed admitted is denied.
- 2. By January 31, 2017, the Defendants shall serve amended responses to the Trustee's Requests to Admit, which shall be based upon a reasonable inquiry by them, including a review of the documents furnished to them by the Trustee
- 3. The Trustee's letter request to compel the Defendants to produce documents is granted.
- 4. By January 31, 2017, the Defendants shall:
 - (a) engage in a good faith effort to locate all documents responsive to the Trustee's requests for the production of documents;
 - (b) produce all responsive non-privileged documents in their possession, custody or control;
 - (c) serve an amended response to the Trustee's document requests which shall not contain impermissible boilerplate objections, (see generally Mancia v. Mayflower Textile Servs. Co., 253 F.R.D. 354, 358 (D. Md. 2008)), and which specifies in response to each request whether any non-privileged documents have been withheld from production based on an objection, (see Fed. R. Civ. P. 34(b)(2)(C)).

- (d) serve a privilege log for any documents withheld on privilege grounds.
- 5. Absent good cause shown, any documents that the Defendants have failed to produce by January 31, 2017, may not be used to support any claim or defense that the Defendants have asserted in these proceedings.

SO ORDERED.

Dated: New York, New York

December 20, 2016

/s/

FRANK MAAS Discovery Arbitrator

Copies to Counsel via ECF

SERVICE LIST

Case Name: In re: Bernard L. Madoff Investment Securities, LLC. et al.

Hear Type: Court Reference

Reference #: 1425022252

Case Type: Business/Commercial

Panelist: Maas, Frank,

Karin Scholz Jenson

Baker Hostetler LLP

45 Rockefeller Plaza

Trustee

New York, NY 10111

Phone: 212-589-4200

Fax: 212-589-4201

kjenson@bakerlaw.com

Party Represented:

Irving H. Picard, Esq., TRUSTEE

Yuliya Iskhakova

Baker Hostetler LLP

45 Rockefeller Plaza Trustee

New York, NY 10111

Phone: 212-589-4200

Fax: 212-589-4201

yiskhakova@bakerlaw.com

Party Represented:

Irving H. Picard, Esq., TRUSTEE

Edward Jacobs

Baker Hostetler LLP

45 Rockefeller Plaza Trustee

New York, NY 10111

Phone: 212-589-4200

Fax: 212-589-4201

ejacobs@bakerlaw.com

Party Represented:

Irving H. Picard, Esq., TRUSTEE

Dean D. Hunt

Baker Hostetler

811 Main St. Trustee

Suite 1100 Phone: 713-751-1600 Houston, TX 77002-6111 Fax: 713-751-1717

dhunt@bakerlaw.com

Party Represented:

Irving H. Picard, Esq., TRUSTEE

Nicholas J. Cremona

Baker Hostetler LLP

45 Rockefeller Plaza

Trustee

New York, NY 10111

Phone: 212-589-4200

Fax: 212-589-4201

ncremona@bakerlaw.com

Party Represented:

Irving H. Picard, Esq., TRUSTEE

Terry M. Brennan

Baker Hostetler LLP

127 Public Square

Trustee

Suite 2000

Phone: 216-621-0200 Fax: 216-696-0740

Cieveland, OH 44114-1214 tbrennan@bakerlaw.com

Party Represented:

Irving H. Picard, Esq., TRUSTEE

Breaden M. Douthett

Baker Hostetler LLP

127 Public Square

Trustee Phone: 216-621-0200

Suite 2000 Cleveland, OH 44114-1214

Fax: 216-696-0740

bdouthett@bakerlaw.com

Party Represented:

Irving H. Picard, Esq., TRUSTEE

Edward Jacobs

Baker Hostetler LLP

45 Rockefeller Plaza

Trustee

New York, NY 10111

Phone: 212-589-4200 Fax: 212-589-4201

ejacobs@bakerlaw.com

Party Represented:

Irving H. Picard, Esq., TRUSTEE

Yuliya Iskhakova

Baker Hostetler LLP

45 Rockefeller Plaza

Trustee

New York, NY 10111

Phone: 212-589-4200

Fax: 212-589-4201

yiskhakova@bakerlaw.com

Party Represented:

Crupi - Adv. Pro. 10-04216 (SMB)

David Bernfeld

Bernfeld, DeMatteo & Bernfeld, LLP

600 Third Avenue

Defendant Phone: 212-661-1661

15th Floor New York, NY 10016

Fax: 212-557-9610

davidbernfeld@bernfeld-dematteo.com

Party Represented:

Susan Andelman

Jeffrey L. Bernfeld

Bernfeld, DeMatteo & Bernfeld, LLP

600 Third Avenue Defendant

15th Floor New York, NY 10016 jbdobro@hotmail.com Phone: 212-661-1661

Fax: 212-557-9610

Party Represented:

Susan Andelman

12/20/2010

PROOF OF SERVICE BY EMAIL & U.S. MAIL

Re: In re: Bernard L. Madoff Investment Securities, LLC. et al.
Reference No. 1425022252

I, Kristen Maccubbin, not a party to the within action, hereby declare that on December 20, 2016, I served the attached Decisions re: Feldmans and Andelmans on the parties in the within action by Email and by depositing true copies thereof enclosed in sealed envelopes with postage thereon fully prepaid, in the United States Mail, at New York, NEW YORK, addressed as follows:

Karin Scholz Jenson Esq.
Ms. Yuliya Iskhakova
Edward Jacobs Esq.
Baker Hostetler LLP
45 Rockefeller Plaza
New York, NY 10111
Phone: 212-589-4200
kjenson@bakerlaw.com
yiskhakova@bakerlaw.com
ejacobs@bakerlaw.com
Parties Represented:
Crupi Case
Good Faith Case

Mr. Dean D. Hunt
Baker Hostetler
811 Main St.
Suite 1100
Houston, TX 77002-6111
Phone: 713-751-1600
dhunt@bakerlaw.com
Parties Represented:
Good Faith Case
Irving H, Picard, Esq., TRUSTEE

Mr. Nicholas J. Cremona
Baker Hostetler LLP
45 Rockefeller Plaza
New York, NY 10111
Phone: 212-589-4200
ncremona@bakerlaw.com
Parties Represented:
Good Faith Case
Irving H. Picard, Esq., TRUSTEE

Irving H. Picard, Esq., TRUSTEE

Terry M. Brennan Esq.
Mr. Breaden M. Douthett
Baker Hostetler LLP
127 Public Square
Suite 2000
Cleveland, OH 44114-1214
Phone: 216-621-0200
tbrennan@bakerlaw.com
bdouthett@bakerlaw.com
Parties Represented:
Crupi Case
Irving H. Picard, Esq., TRUSTEE

Mr. David Bernfeld Jeffrey L. Bernfeld Esq. Bernfeld, DeMatteo & Bernfeld, LLP 600 Third Avenue 15th Floor

New York, NY 10016 Phone: 212-661-1661

davidbernfeld@bernfeld-dematteo.com

jbdobro@hotmail.com

Parties Represented:

Carolyn Miller Revocable Trust

Estate of Carolyn Miller

Joanne Gayle

Laurie Rosen Riemer

Pauline B Feldman

Pauline B. Feldman

Richard Feldman

Steven Andelman

Susan Andelman

I declare under penalty of perjury the foregoing to be true and correct. Executed at New York, NEW

YORK on December 20, 2016.

Kaisten Maccubbin

kmaccubbin@jamsadr.com